

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of  
Inventor(s): Mills

Group Art Unit: 1745

Examiner(s): Kalafut *for*  
*Secret Committee*

App'n Ser. No.: 09/009,294

Filing Date: 01/20/1998

Title: HYDRIDE COMPOUNDS



\* \* \* \* \*

December 10, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached are PTO/SB/O8B forms listing the enclosed documents. Copies of the enclosed documents are attached to this Information Disclosure Statement and/or to the Attachments to the Response filed herewith.

Please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-0687 under Order No. 27462/62-226 for which purposes this paper is submitted in duplicate.

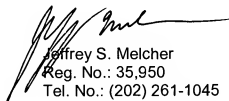
Applicant also attaches herewith a complete list of all his articles that have been submitted previously for consideration on PTO/SB/08A and B forms, which listed the dates the journals published the articles. Please note that the document numbers on this list do not correspond to the numbers in other lists submitted previously in other responses. Because of an oversight, Applicant's counsel only recognized recently that

Applicant had posted his articles on the BlackLight's website ([www.blacklightpower.com](http://www.blacklightpower.com)) earlier than the listed publication date and these postings may constitute a publication under the patent laws and rules. If the U.S. Patent Office determines that the postings were publications, Applicant provides herewith on the attached list the internet publication dates for each such article identified as "web publication date."

This information disclosure statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully. Consideration of the foregoing and enclosures plus the return of a copy of the herewith PTO/SB/08A and B forms with the Examiner's initials in the left column per MPEP 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,  
Manelli Denison & Selter PLLC

By

  
Jeffrey S. Melcher  
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Customer No. 20736

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Examiner Name	Kalafut
Attorney Docket Number	

Sheet 1 of 7

## OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	57	R. L. Mills, P. Ray, "Spectroscopic Characterization of Stationary Inverted Lyman Populations and Free-Free and Bound-Free Emission of Lower-Energy State Hydride Ion Formed by a Catalytic Reaction of Atomic Hydrogen and Certain Group I Catalysts, Quantitative Spectroscopy and Radiative Transfer, No. 39, sciencedirect.com, April 17, (2003). Attachment 57	
	56	R. M. Mayo, R. Mills, "Direct Plasmadynamic Conversion of Plasma Thermal Power to Electricity for Microdistributed Power Applications," 40th Annual Power Sources Conference, Cherry Hill, NJ, June 10-13, (2002), pp. 1-4. (Web Publication Date: March 28, 2002.) Attachment 56	
	55	R. Mills, P. Ray, R. M. Mayo, "Chemically-Generated Stationary Inverted Lyman Population for a CW HI Laser," European J of Phys. D, submitted. (Web Publication Date: April 22, 2002.) Attachment 55	
	54	R. L. Mills, P. Ray, "Stationary Inverted Lyman Population Formed from Incandescently Heated Hydrogen Gas with Certain Catalysts," J. Phys. D, Applied Physics, Vol. 36, (2003), pp. 1504-1509. (Web Publication Date: March 20, 2002.) Attachment 54	
	53	R. Mills, "A Maxwellian Approach to Quantum Mechanics Explains the Nature of Free Electrons in Superfluid Helium," Physics of Fluids, submitted. (Web Publication Date: June 4, 2002.) Attachment 53	
	52	R. Mills and M. Nansteel, P. Ray, "Bright Hydrogen-Light Source due to a Resonant Energy Transfer with Strontium and Argon Ions," New Journal of Physics, Vol. 4, (2002), pp. 70.1-70.28. (Web Publication Date: October, 2002, when it became available on the New Journal of Physics website.) Attachment 52	
	51	R. Mills, P. Ray, R. M. Mayo, "CW HI Laser Based on a Stationary Inverted Lyman Population Formed from Incandescently Heated Hydrogen Gas with Certain Group I Catalysts," IEEE Transactions on Plasma Science, Vol. 31, No. 2, (2003), pp. 236-247. (Web Publication Date: Feb. 4, 2002.) Attachment 51	
	50	R. L. Mills, P. Ray, J. Dong, M. Nansteel, B. Dhandapani, J. He, "Spectral Emission of Fractional-Principal-Quantum-Energy-Level Atomic and Molecular Hydrogen," Vibrational Spectroscopy, Vol. 31, No. 2, (2003), pp. 195-213. Attachment 50	

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	65	R. Mills, P. Ray, R. M. Mayo, "Spectroscopic Evidence for CW H I Lasing in a Water-Plasma," J. of Applied Physics, submitted. (Web Publication Date: Sept. 18, 2002.) Attachment 65	
	64	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Low Power MPCVD of Diamond Films on Silicon Substrates," Journal of Vacuum Science & Technology A, submitted. (Web Publication Date: June 26, 2002.) Attachment 64	
	63	R. L. Mills, X. Chen, P. Ray, J. He, B. Dhandapani, "Plasma Power Source Based on a Catalytic Reaction of Atomic Hydrogen Measured by Water Bath Calorimetry," Thermochimica Acta, Vol. 406, Issue 1-2, (2003), pp. 35-53. (Web Publication Date: June 25, 2002.) Attachment 63	
	62	R. L. Mills, A. Voigt, B. Dhandapani, J. He, "Synthesis and Spectroscopic Identification of Lithium Chloro Hydride," Materials Characterization, submitted. Attachment 62	
	61	R. L. Mills, B. Dhandapani, J. He, "Highly Stable Amorphous Silicon Hydride." Solar Energy Materials & Solar Cells, Vol. 80, No. 1, pp. 1-20. (Web Publication Date: April 15, 2002.) Attachment 61	
	60	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Synthesis of HDLC Films from Solid Carbon," Journal of Material Science, submitted. (Web Publication Date: May 3, 2002.) Attachment 60	
	59	R. Mills, P. Ray, R. M. Mayo, "The Potential for a Hydrogen Water-Plasma Laser," Applied Physics Letters, Vol. 82, No. 11, (2003), pp. 1679-1681. (Web Publication Date: July 11, 2002.) Attachment 59	
	58	R. L. Mills, "Classical Quantum Mechanics," Physics Essays, submitted. (Web Publication Date: May 23, 2002.) Attachment 58	

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	72	R. L. Mills, M. Nansteel, P. C. Ray, "Bright Hydrogen-Light and Power Source due to a Resonant Energy Transfer with Strontium and Argon Ions," Vacuum, submitted. Attachment 72	
	71	R. L. Mills, P. Ray, B. Dhandapani, J. Dong, X. Chen, "Power Source Based on Helium-Plasma Catalysis of Atomic Hydrogen to Fractional Rydberg States," Contributions to Plasma Physics, submitted. Attachment 71	
	70	R. Mills, J. He, A. Echezuria, B. Dhandapani, P. Ray, "Comparison of Catalysts and Plasma Sources of Vibrational Spectral Emission of Fractional-Rydberg-State Hydrogen Molecular Ion," European Journal of Physics D, submitted. (Web Publication Date: Sept. 2, 2002.) Attachment 70	
	69	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Spectroscopic Characterization of the Atomic Hydrogen Energies and Densities and Carbon Species During Helium-Hydrogen-Methane Plasma CVD Synthesis of Diamond Films," Chemistry of Materials, Vol. 15, (2003), pp. 1313-1321. (Web Publication Date: Dec. 31, 2002.) Attachment 69	
	68	R. Mills, P. Ray, R. M. Mayo, "Stationary Inverted Balmer and Lyman Populations for a CW HI Water-Plasma Laser," IEEE Transactions on Plasma Science, submitted. (Web Publication Date: Aug. 16, 2002.) Attachment 68	
	67	R. L. Mills, P. Ray, B. Dhandapani, J. He, "Extreme Ultraviolet Spectroscopy of Helium-Hydrogen Plasma," J. Phys. D, Vol. 36, (2003), pp. 1535-1542. (Web Publication Date: July 17, 2002.) Attachment 67	
	66	R. L. Mills, P. Ray, "Spectroscopic Evidence for a Water-Plasma Laser," Europhysics Letters, submitted. (Web Publication Date: Sept. 19, 2002.) Attachment 66	

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	80	R. L. Mills, "The Fallacy of Feynman's Argument on the Stability of the Hydrogen Atom According to Quantum Mechanics," Foundations of Phys., submitted. (Web Publication Date: Jan. 27, 2003.) Attachment 80	
	79	R. Mills, J. He, B. Dhandapani, P. Ray, "Comparison of Catalysts and Microwave Plasma Sources of Vibrational Spectral Emission of Fractional-Rydberg-State Hydrogen Molecular Ion," Canadian Journal of Physics, submitted. Attachment 79	
	78	R. L. Mills, P. Ray, J. Dong, M. Nansteel, B. Dhandapani, J. He, "Vibrational Spectral Emission of Fractional-Principal-Quantum-Energy-Level Molecular Hydrogen," Bulletin of the Chemical Society of Japan, submitted. (Web Publication Date: Sept. 9, 2002.) Attachment 78	
	77	J. Phillips, R. L. Mills, X. Chen, "Water Bath Calorimetric Study of Excess Heat in 'Resonance Transfer' Plasmas," Journal of Applied Physics, submitted. (Web Publication Date: June 16, 2003.) Attachment 77	
	76	R. L. Mills, P. Ray, B. Dhandapani, X. Chen, "Comparison of Catalysts and Microwave Plasma Sources of Spectral Emission of Fractional-Principal-Quantum-Energy-Level Atomic and Molecular Hydrogen," Journal of Applied Spectroscopy, submitted. (Web Publication Date: Feb. 12, 2002.) Attachment 76	
	75	R. L. Mills, P. Ray, B. Dhandapani, J. He, "Novel Liquid-Nitrogen-Condensable Molecular Hydrogen Gas," Acta Physica Polonica A, submitted. (Web Publication Date: Oct. 29, 2002.) Attachment 75	
	74	R. L. Mills, P. C. Ray, R. M. Mayo, M. Nansteel, B. Dhandapani, J. Phillips, "Spectroscopic Study of Unique Line Broadening and Inversion in Low Pressure Microwave Generated Water Plasmas," Physics of Plasmas, submitted. (Web Publication Date: June 18, 2003.) Attachment 74	

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	85	R. L. Mills, P. Ray, R. M. Mayo, "Highly Pumped Inverted Balmer and Lyman Populations," New Journal of Physics, submitted. Attachment 85	
	84	R. L. Mills, P. Ray, J. Dong, M. Nansteel, R. M. Mayo, B. Dhandapani, X. Chen, "Comparison of Balmer $\alpha$ Line Broadening and Power Balances of Helium-Hydrogen Plasma Sources," Plasma Sources Science and Technology, submitted. (Web Publication Date: March 12, 2003.) Attachment 84	
	83	R. Mills, P. Ray, M. Nansteel, R. M. Mayo, "Comparison of Water-Plasma Sources of Stationary Inverted Balmer and Lyman Populations for a CW HI Laser," J. Appl. Spectroscopy, in preparation. Attachment 83	
	82	R. Mills, J. Sankar, P. Ray, J. He, A. Voigt, B. Dhandapani, "Synthesis and Characterization of Diamond Films from MPCVD of an Energetic Argon-Hydrogen Plasma and Methane," J. of Materials Research, submitted. (Web Publication Date: May 7, 2003.) Attachment 82	

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	97	R. L. Mills, P. Ray, B. Dhandapani, "Evidence of an Energy Transfer Reaction Between Atomic Hydrogen and Argon II or Helium II as the Source of Excessively Hot H Atoms in RF Plasmas," Contributions to Plasma Physics, submitted. (Web Publication Date: Sept. 26, 2003.) Attachment 97	
	96	J. Phillips, C.K. Chen, R. L. Mills, "Evidence of the Production of Hot Hydrogen Atoms in RF Plasmas by Catalytic Reactions Between Hydrogen and Oxygen Species," J. Phys. D., submitted. (Web Publication Date: Sept. 12, 2003.) Attachment 96	
	95	R. L. Mills, P. Ray, B. Dhandapani, "Excessive Balmer Line Broadening of Water-Vapor Capacitively-Coupled RF Discharge Plasmas" IEEE Transactions on Plasma Science, submitted. (Web Publication Date: Aug. 18, 2003.) Attachment 95	
	94	R. L. Mills, "The Nature of the Chemical Bond Revisited and an Alternative Maxwellian Approach," II Nuevo Cimento, submitted. (Web Publication Date: Aug. 6, 2003.) Attachment 94	
	93	R. L. Mills, P. Ray, M. Nansteel, J. He, X. Chen, A. Voigt, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction Forms a New State of Hydrogen," in preparation. Attachment 93	
	92	R. L. Mills, P. Ray, M. Nansteel, J. He, X. Chen, A. Voigt, B. Dhandapani, Luca Gamberale, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," European Physical Journal D, submitted. (Web Publication Date: June 6, 2003.) Attachment 92	
	91	R. Mills, P. Ray, "New H I Laser Medium Based on Novel Energetic Plasma of Atomic Hydrogen and Certain Group I Catalysts," J. Plasma Physics, submitted. Attachment 91	
	90	R. L. Mills, P. Ray, M. Nansteel, J. He, X. Chen, A. Voigt, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," Am. Chem. Soc. Div. Fuel Chem. Prepr., Vol. 48, No. 2, (2003). Attachment 90	

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	99	R. L. Mills, Y. Lu, B. Dhandapani, "Spectral Identification of H <sub>2</sub> (1/2)," submitted. Attachment 99	
	100	R. Mills, B. Dhandapani, J. He, "Highly Stable Amorphous Silicon Hydride from a Helium Plasma Reaction," Chemistry of Materials, submitted. ( <i>Web Publication Date: Nov. 17, 2003.</i> ) Attachment 100	

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99. R. L. Mills, Y. Lu, B. Dhandapani, "Spectral Identification of  $H_2(1/2)$ ," submitted.
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  80. R. L. Mills, "The Fallacy of Feynman's Argument on the Stability of the Hydrogen Atom According to Quantum Mechanics," Foundations of Phys., submitted. (*Web*

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### **Test Reports**

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### **Prior Conference Presentations**

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44. X. Chen, R. Mills, "Calorimetric Study of Heat Generation by Catalytic Reaction of Atomic Hydrogen in Resonant Transfer Plasmas" (Fuel Cells Session), June 9, 2003, 36<sup>th</sup> Middle Atlantic Regional Meeting of American Chemical Society, (June 8–11, 2003), Princeton University, Princeton, NJ.
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41. R. L. Mills, "Classical Quantum Mechanics," Monday, November 25, Room 216, Protocol Center, TA-3, Los Alamos National Laboratory.
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37. P. Ray, R. Mills, "Spectroscopic Characterization of Stationary Inverted Balmer and Lyman Populations Formed by a Catalytic Reaction of Atomic Hydrogen with Oxygen and with Certain Group I Catalysts," Eighteenth International Conference on Atomic Physics, July 28-August 2, 2002, Cambridge, Massachusetts.
36. R. M. Mayo, R. L. Mills, M. Nansteel, "Direct Plasmadynamic Conversion of Plasma Thermal Power from a Novel Plasma Source to Electricity for Microdistributed Power Applications," 40<sup>th</sup> Power Sources Conference, (June 6–13, 2002), Cherry Hill, NJ.
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29. R. Mills, "BlackLight Power Technology-A New Clean Energy Source with the Potential for Direct Conversion to Electricity," *The 8 th Annual Emerald Groundhog Day Investment Forum*, February 5, 2002, Wyndham Franklin Plaza Hotel,

- Philadelphia, PA, Organized by Emerald Asset Management, Lancaster, PA.
28. R. L. Mills, E. Dayalan, "Novel Alkali and Alkaline Earth Hydrides for High Voltage and High Energy Density Batteries," Proceedings of the 17<sup>th</sup> Annual Battery Conference on Applications and Advances, California State University, Long Beach, CA, (January 15-18, 2002), pp. 1-6.
  27. P. Ray, R. Mills, "Spectroscopic identification of a novel catalytic reaction of hydrogen plasma," Session ET1: Lighting, American Physical Society Meeting, 54th Annual Gaseous Electronics Conference, October 9-12, 2001, Pennsylvania State University, State College, PA.
  26. R. Mills, "Novel catalytic reaction of hydrogen as a potential new energy source," Division of Industrial and Engineering Chemistry; Session: Industrial Bio-Based Technology, 222nd American Chemical Society Fall National Meeting, (August 26-30, 2001), Chicago, IL.
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  23. R. Mills, J. He, "Spectroscopic Identification of a Novel Catalytic Reaction of Atomic Hydrogen and the Hydride Ion Product," National Hydrogen Association, 12<sup>th</sup> Annual U.S. Hydrogen Meeting and Exposition, *Hydrogen: The Common Thread*, The Washington Hilton and Towers, Washington DC, (March 6-8, 2001).
  22. R. Mills, B. Dhandapani, M. Nansteel, N. Greenig, S. Hicks, J. Dong, "Optically Measured Power Balances of Anomalous Discharges of Mixtures of Argon, Hydrogen, and Potassium, Rubidium, Cesium, or Strontium Vapor," National Hydrogen Association, 12<sup>th</sup> Annual U.S. Hydrogen Meeting and Exposition, *Hydrogen: The Common Thread*, The Washington Hilton and Towers, Washington DC, (March 6-8, 2001).
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18. R. Mills, "The Grand Unified Theory of Classical Quantum Mechanics," Global Foundation, Inc. Orbis Scientiae entitled *The Role of Attractive and Repulsive Gravitational Forces in Cosmic Acceleration of Particles The Origin of the Cosmic Gamma Ray Bursts*, (29th Conference on High Energy Physics and Cosmology Since 1964) Dr. Behram N. Kursunoglu, Chairman, December 14-17, 2000, Lago Mar Resort, Fort Lauderdale, FL.
17. R. Mills, "BlackLight Power Technology-A New Clean Energy Source with the Potential for Direct Conversion to Electricity," Global Foundation, Inc. conference entitled *Global Warming and Energy Policy*, Fort Lauderdale, FL, November 26-28, 2000.
16. R. Mills, B. Dhandapani, N. Greenig, J. He, J. Dong, Y. Lu, and H. Conrads, "Formation of an Energetic Plasma and Novel Hydrides from Incandescently Heated Hydrogen Gas with Certain Catalysts," August National ACS Meeting (220th ACS National Meeting, Washington, DC, (August 20-24, 2000)).
15. R. Mills, J. He, and B. Dhandapani, "Novel Alkali and Alkaline Earth Hydrides," August National ACS Meeting (220th ACS National Meeting, Washington, DC, (August 20-24, 2000)).
14. R. Mills, B. Dhandapani, N. Greenig, J. He, J. Dong, Y. Lu, and H. Conrads, "Formation of an Energetic Plasma and Novel Hydrides from Incandescently Heated Hydrogen Gas with Certain Catalysts," June ACS Meeting (29th Northeast Regional Meeting, University of Connecticut, Storrs, CT, (June 18-21, 2000)).
13. Mills, J. Dong, N. Greenig, and Y. Lu, "Observation of Extreme Ultraviolet Hydrogen Emission from Incandescently Heated Hydrogen Gas with Certain Catalysts," 219 th National ACS Meeting, San Francisco, California, (March 26-30, 2000).
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Francisco, California, (March 26-30, 2000).

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5. R. Mills, J. Dong, Y. Lu, J. Conrads, "Observation of Extreme Ultraviolet Hydrogen Emission from Incandescently Heated Hydrogen Gas with Certain Catalysts," 1999 Pacific Conference on Chemistry and Spectroscopy and the 35th ACS Western Regional Meeting, Ontario Convention Center, California, (October 6-8, 1999).
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